



DISCOVERY
EDUCATIONAL TRUST

Escalation Process (Examinations) 2023/24

Title	Escalation Process (Examinations) 2023/24
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1. Purpose of the Process

In terms of internal governance arrangements, it is the responsibility of the Head of Centre to ensure that either Chase High School (CHS) or St. Martin's School (SMS) has in place a written escalation process should the Head of Centre, or a member of the Senior Leadership Team (SLT) with oversight of examination administration, be absent.

This process confirms the main duties and responsibilities to be escalated.

This process also supports CHS and SMS ability to confirm to an Awarding Body, the external governance arrangements in order that the Awarding Body has confidence in the integrity of Centre activities, such as the delivery of qualifications and the conducting of examinations and assessments.

2. Before Examinations (Planning)

In the event of the absence of the Head of Centre or the Deputy Headteacher responsible for examinations, responsibility for implementing Joint Council for Qualifications (JCQ) regulations and requirements relating to activity prior to examinations is escalated to another member of SLT.

To support understanding of the regulations and requirements, the following JCQ publications are referenced:

- General Regulations for Approved Centres;
- Instructions for conducting examinations;
- Access Arrangements and Reasonable Adjustments;
- Instructions for conducting coursework;
- Instructions for conducting Non-Examination Assessments;
- Suspected Malpractice – Policies and Procedures;
- A guide to the special consideration process.

Main Duties and Responsibilities

The main duties and responsibilities relate to:

- Third party arrangements;
- Centre status;
- Confidentiality;
- Communication;
- Recruitment, selection, training and support
- External and internal governance arrangements;
- Delivery of qualifications;
- Public liability;
- Conflicts of interest;
- Controlled assessments, coursework and Non-Examination Assessments;
- Security of assessment materials;

- National Centre Number Register;
- Centre inspections:
 - Additional JCQ publications for reference:
 - JCQ Centre Inspection Service Changes.
- Policies available for inspection:
 - Specific JCQ publications for reference:
 - General Regulations for Approved Centres (Section 5);
 - Instructions for conducting examinations (Section 25);
 - Access Arrangements and Reasonable Adjustments (Section 5).
- Personal data, freedom of information and copyright:
 - Additional JCQ publication for reference:
 - Information for candidates – Privacy Notice.

3. Before Examinations (Entries and Pre-Examinations)

In the event of the absence of the Head of Centre or the Deputy Headteacher responsible for examinations, responsibility for implementing JCQ regulations and requirements relating to entries and exam preparation is escalated to another member of SLT.

To support understanding of the regulations and requirements, sections of relevant JCQ publications are specifically referenced, including:

- General Regulations for Approved Centres (Section 5);
- Instructions for conducting examinations (Sections 1-15);
- Access Arrangements and Reasonable Adjustments (Sections 6-8).

Main Duties and Responsibilities

The main duties and responsibilities relate to:

- Access arrangements and reasonable adjustments;
- Entries: (including ensuring appropriate controls are in place, which allow accurate entries to be submitted to the Awarding Bodies)
 - Additional JCQ publications for reference:
 - Key dates;
 - Guidance Notes for Transferred Candidates;
 - Alternative Site guidance notes;
 - Guidance notes for overnight supervision of candidates with a timetable variation.
- Centre-assessed work:
- (including ensuring that appropriate controls are in place, which allow accurate internally-assessed marks to be submitted to the Awarding Bodies).
 - Additional JCQ publication for reference:
 - Guidance Notes – Centre Consortium Arrangements.
- Candidate information:
 - Additional JCQ publications for reference:

- Information for candidates' documents;
- Examination Room Posters.

4. During Examinations (Examination Time)

In the event of the absence of the Head of Centre or the Deputy Headteacher responsible for examinations, responsibility for implementing JCQ regulations and requirements relating to during examination time is escalated to another member of SLT.

The Centre also has in place a member of the SLT, who provides support and guidance to the Examinations Officer and ensures that the integrity and security of examinations and assessments are maintained throughout an examination series. To support understanding of the regulations and requirements, sections of relevant JCQ publications are specifically referenced, including:

- General Regulations for Approved Centres (Sections 3 and 5);
- Instructions for conducting examinations (Sections 16-31);
- Access Arrangements and Reasonable Adjustments (Section 8);
- A guide to the special consideration process (Sections 2-7).

Main Duties and Responsibilities

The main duties and responsibilities relate to:

- Conducting examinations and assessments:
 - Additional JCQ publication for reference:
 - Guidance Notes – Very Late Arrival.
- Malpractice;
- Retention of candidates' work.

5. After Examinations (Results and Post-Results)

As a contingency to enable the prompt handling of urgent issues only, the Centre responds to the Awarding Bodies' request for information regarding the contact details of a senior member of staff (which might include a personal mobile number and/or email address). This ensures that any urgent matters, which arise outside of term time, which might adversely affect candidates, and which potentially put qualification awards at risk, can be addressed by Awarding Bodies with the support of that member of staff. The Head of Centre ensures that this member of staff has the necessary authority to mobilise resources to provide this support, which might include resolving issues within the Centre itself (GR 5.3)

In the event of the absence of the Head of Centre or the Deputy Headteacher responsible for examinations, responsibility for implementing JCQ regulations and requirements relating to after examinations is escalated to another member of SLT.

To support understanding of the regulations and requirements, sections of relevant JCQ publications are specifically referenced, including:

- General Regulations for Approved Centres (Section 5).

Main Duties and Responsibilities

The main duties and responsibilities relate to:

- Results:
 - Additional JCQ publication for reference:
 - Release of Results notice.
- Post-results services and appeals:
 - Additional JCQ publications for reference:
 - Post-Results Services (Information and guidance to Centres);
 - JCQ Appeals Booklet (A guide to the awarding bodies' appeals processes).
- Certificates.